

DESIGN-BUILD SERVICES FOR THE FIRST 5 LA FACILITY
RENOVATION PROJECT

Appendix D – Litigation And Contract Compliance Form

Firm:		Agreement Number:	TBD
Agreement	Design-Builder for Phase 1		

Check YES, NO or Not Applicable (N/A) in response to the following questions. If a YES answer is checked, please fully explain the circumstances and include whether it will have a potential impact on the Project.	Yes	No	N/A
1. Does your firm possess a valid and current California Contractor’s or other professional license as required by law for the project or projects for which it intends to submit a bid?			
2. Is your firm registered with DIR as a Public Works Contractor for the current fiscal year?			
3. Has your contractor’s or other professional license been revoked at any time in the last five years?			
4. Has a surety firm completed a contract on your behalf, or paid for completion because your firm was in default and/or terminated by the project owner within the last five years?			
5. Has a surety firm completed a contract on your behalf, or paid for completion because your firm was in default and/or terminated by the project owner within the last five years?			
6. Is your firm, any of its officers, supervisors, managers, or any firm or individual identified above in Key Personnel , ineligible to bid on or be awarded a public works contract, or perform as a subcontractor on a public works contract, pursuant to Labor Code section 1777.1, Labor Code section 1777.7, or any other federal, state, county, municipal or other local law providing for the debarment of contractors from public works?			
7. Has your firm, any of its officers, supervisors, managers, or any firm or individual identified above in Key Personnel , been convicted of a crime involving the awarding of a contract of a government construction project, the bidding or performance of a government contract, antitrust statutes, racketeering statutes, safety and health regulations, environmental laws, laws banning workplace discrimination, laws governing wages, hours or labor standards, or laws involving fraud, theft, or any other act of dishonesty?			
8. Has your firm been the debtor in a bankruptcy case in the last five (5) years?			

<p>9. Has your firm, any of its officers, supervisors, managers, or any firm or individual identified above in Key Personnel ever been terminated from a public works contract, including but not limited to termination based on any misconduct, such as failure to comply with contractual, statutory, or other legal obligations from any public construction project?</p>			
<p>10. Does your firm, any of its officers, supervisors, managers, or any firm or individual identified above in Key Personnel currently have any delinquent liability to an employee, the state, or any awarding body for any assessment of back wages or related damages, interest, fines or penalties pursuant to any final judgment, order, or determination by any court or any federal, state, or local administrative agency, including a confirmed arbitration award?</p>			
<p>11. Does the General Contractor seeking pre-qualification know and understand their obligations regarding the employment of apprentices on public works under Labor Code section 1777.5 and California Code of Regulations, Title 8, section 230.1, and do they intend to comply with these requirements, including the requirement, if applicable to request the dispatch of apprentices from an apprenticeship program approved by the California Apprenticeship Council?</p>			
<p>12. Is the proposed Architect listed under the Federal Debarment and Suspension List?</p>			
<p>13. Has any professional license held by any Architect member who will provide services been revoked at any time in the last five (5) years?</p>			
<p>14. At any time during the last five (5) years, has the Architect or any of its owners or officers been convicted of a crime involving the awarding of a contract of a government or public agency construction project, or performance of a government contract?</p>			
<p>15. Has the Architect Member or any of its owners, officers or partners ever been found liable in a civil suit or found guilty in a criminal action for making any false claim or material misrepresentation to any public agency or entity?</p>			
<p>Explanation (use additional pages if necessary):</p>			

As part of the contract process the Commission, at its own discretion, may implement additional procedures to validate or further investigate any of the proposed Contractor/Grantee's responses. The Commission reserves the right to terminate the contracting process without entering into an agreement if the proposed Contractor/Grantee submits false or incorrect information.

By signing this form, I certify, on behalf of agency named above that the responses contained herein are true and complete to the best of my knowledge.

Signature: _____ Date: _____
(Must be signed by an Authorized Signatory)

Printed Name: _____ Title: _____

LOS ANGELES COUNTY CHILDREN AND FAMILIES FIRST – PROPOSITION 10
COMMISSION LITIGATION AND CONTRACT COMPLIANCE FORM REV
03-16

First 5 LA Internal Use Only: Staff: ___ CCO: _____
